

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10595469	
	Filing Date		2006-04-21	
	First Named Inventor	Yim-Bun Patrick Kwan		
	Art Unit			
	Examiner Name			
	Attorney Docket Number		72261.43	

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	1	20030197914	A1	2003-10-23	Cox et al.		
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1	2002203767	JP	A	2002-07-19	Nippon Kogaku KK	<input checked="" type="checkbox"/>
2	5234850	JP	A	1993-09-10	Canon KK	<input checked="" type="checkbox"/>
3	1178356	EP	A2	2002-02-06	Carl Zeiss	<input checked="" type="checkbox"/>
4	1321823	EP	A2	2002-06-25	ASML Netherlands BV (NL)	<input checked="" type="checkbox"/>
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<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

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STATEMENT BY APPLICANT**  
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Application Number	10595469
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**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Donald S. Showalter/	Date (YYYY-MM-DD)	2006-07-07
Name/Print	Donald S. Showalter	Registration Number	33033

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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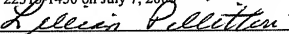
The information provided by you in this form will be subject to the following routine uses:

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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
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**PATENT**

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I hereby certify that this paper or fee is being electronically transmitted to the Commissioner for Patents,  
P.O. Box 1450, Alexandria, VA 22313-1450 on July 7, 2006

  
Lillian Pillitteri

Serial No.: 10/595,469  
Filed: April 21, 2006  
Confirmation No.: 1165  
Applicant: Yim-Bun Patrick Kwan et al.  
Title: OPTICAL ASSEMBLY FOR PHOTOLITHOGRAPHY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Pursuant to 37 C.F.R. §1.56, the disclosures listed on the attached Form PTO-1449 are called to the attention of the U.S. Patent and Trademark Office in connection with the above-identified application. Copies of the foreign references are enclosed. These disclosures are being submitted within three (3) months of the filing date or prior to the receipt of an Office Action on the merits pursuant to 37 C.F.R. § 1.97; thus no fee should be required. However, if a fee is required, please charge same to undersigned's Deposit Account No. 50-3744.

The Examiner is invited to review the subject matter of these references, and independently assess the teachings and materiality of each, if any, to the pending claims. Pursuant to 37 C.F.R. §1.97(h), no admission is made that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Respectfully submitted,

GrayRobinson, P.A.

By: 

Donald S. Showalter, Reg. No. 33,033

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